



11W/625

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kevin Jeffrey Barnham, et al. **Examiner:** D. Margaret M. Seaman
Serial No.: 10/521,902 **Art Unit:** 1625
Filed: August 10, 2005 **Docket:** 18583
For: 8-HYDROXY QUINOLINE **Dated:** December 6, 2006
DERIVATIVES

Confirmation No.: 7111

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. German Patent Application No. 39 32 338 A1, dated April 11, 1991, together with an English-Language Abstract;
2. United States Patent No. 5,952,346, dated September 14, 1999 to Heitsch et al.;
3. PCT International Publication No. WO 00/23421, published April 27, 2000;
4. PCT International Publication No. WO 00/58344, published October 5, 2000;
5. European Patent Publication No. 1 074 257 A1, published February 7, 2001;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: December 6, 2006


Xiaochun Zhu

6. PCT International Publication No. WO 02/24701 A2, published March 28, 2002;
7. PCT International Publication No. WO 02/24702 A1, published March 28, 2002;
8. PCT International Publication No. WO 02/067939 A1, published September 6, 2002;
9. PCT International Publication No. WO 03/004016 A1, published January 16, 2003;
10. PCT International Publication No. WO 03/004483 A1, published January 16, 2003;
11. PCT International Publication No. WO 03/005038 A1, published January 16, 2003;
12. PCT International Publication No. WO 03/010146 A1, published February 6, 2003;
13. PCT International Publication No. WO 03/040096 A2, published May 15, 2003;
14. PCT International Publication No. WO 03/047572 A1, published June 12, 2003;
15. PCT International Publication No. WO 99/45907, published September 16, 1999; and
16. International Preliminary Examination Report on Patentability issued in the corresponding International Application PCT/AU2003/000914.

References No. 1-15 were cited in an International Search Report dated September 17, 2003 and an International Preliminary Examination Report dated October 21, 2004 received from the Australian Patent Office. Applicants are submitting copies of references no. 1, 3-15. In accordance with 37 C.F.R. §1.98(a)(2)(i), Applicants are not required to submit a copy of the above-cited U.S. Patent reference. Copies of the International Search Report and the International Preliminary Examination Report (Reference 16) were previously submitted by Applicants on January 14, 2005. The relevance of the above-identified references has been described in the International Search Report and the International Preliminary Examination Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Xiaochun Zhu', written in a cursive style.

Xiaochun Zhu

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

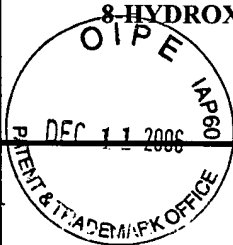
Docket No.
18583

In Re Application Of: **Kevin Jeffrey Barnham, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/521,902	August 10, 2005	D. Margaret M. Seaman	23389	1625	7111

Title:

8-HYDROXY QUINOLINE DERIVATIVES



Address to:

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P.O. Box 1450
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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
18583

In Re Application of: **Kevin Jeffrey Barnham, et al.**

Application No.
10/521,902

Filing Date
August 10, 2005

Examiner
D. Margaret M. Seaman

Customer No.
23389

Group Art Unit
1625

Confirmation No.
7111

Title:

8-HYDROXY QUINOLINE DERIVATIVES

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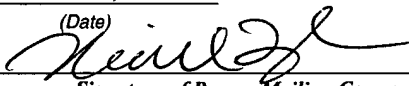
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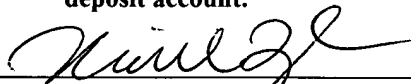
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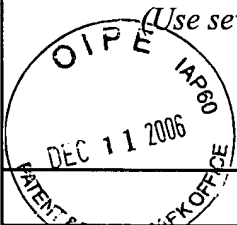
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Dated: **December 6, 2006**

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(REV. 7-80) U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**LIST OF PRIOR ART
CITED BY APPLICANT***(Use several sheets if necessary)***Atty. Docket No. (Optional)**

18583

Application Number

10/521,902

Applicant(s)

Kevin Jeffrey Barnham, et al.

Filing Date

August 10, 2005

Group Art Unit

1625

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,952,346	9/14/99	Heitsch et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		DE 39 32 338 A1	4/11/91	Germany				✓
		WO 00/23421	4/27/00	PCT			✓	
		WO 00/58344	10/5/00	PCT				✓
		EP 1 074 257 A1	2/7/01	Europe			✓	
		WO 02/24701 A2	3/28/02	PCT			✓	
		WO 02/24702 A1	3/28/02	PCT			✓	
		WO 02/067939 A1	9/6/02	PCT			✓	
		WO 03/004016 A1	1/16/03	PCT				✓
		WO 03/004483 A1	1/16/03	PCT				✓
		WO 03/005038 A1	1/16/03	PCT				✓
		WO 03/010146 A1	2/6/03	PCT				✓
		WO 03/040096 A2	5/15/03	PCT			✓	
		WO 03/047572 A1	6/12/03	PCT				✓
		WO 99/45907	9/16/99	PCT			✓	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

International Preliminary Examination Report on Patentability issued in the corresponding International Application PCT/AU2003/000914

EXAMINER**DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.